



THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12951US01)

Section #3

Applicants: Roger Cayton,
Richard W. Brotzman, Jr., and
Marc Kullberg

Serial No. 09/726,686

Filed: November 29, 2000

For: **SUBSTANTIALLY TRANSPARENT,
ABRASION-RESISTANT FILMS
CONTAINING SURFACE-TREATED
NANOCRYSTALLINE PARTICLES**


Group Art Unit: 1762

Examiner:

CERTIFICATE OF MAILING

) I hereby certify that this correspondence is
) being deposited with the United States
) Postal Service as first class mail in an
) envelope addressed to: BOX MISSING
) PARTS, Assistant Commissioner for
) Patents, Washington, D.C. 20231, on
) February 5, 2001.

) By:


Thomas J. Wimbiscus
Reg. No. 36,059

COMPLETION OF FILING REQUIREMENTS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

This replies to the Office letter dated January 23, 2001. A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

An unexecuted Declaration And Power Of Attorney was submitted with the application. Therefore, an executed Declaration And Power of Attorney for this application is enclosed herewith.

Attached is a Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.

PAYMENT OF FEES

Enclosed is a check in the amount of \$130.00 fee for processing and retention of application (37 CFR 1.21(l) and 1.53(d)).



AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Thomas J. Wimbiscus
Reg. No. 36,059
Attorney for Applicant

Date: February 5, 2001

McAndrews, Held & Malloy, Ltd.
500 West Madison Street
34th Floor
Chicago, IL 60661
Phone: (312) 775-8109
Fax: (312) 775-8100

#3



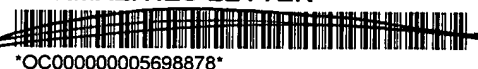
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/726,686	11/29/2000	Roger Cayton	12951US01

CONFIRMATION NO. 1366

FORMALITIES LETTER



Thomas J. Wimbiscus
McAndrews, Held & Malloy, Ltd.
34th Floor
500 West Madison Street
Chicago, IL 60661

Date Mailed: 01/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Thomas J. Wimbiscus
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/09/2001 BHABTEW 00000035 09726686 130.00 OP
01 FC:105